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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Michio Horiuchi
Serial No.: 09/881,005
Filed: June 14, 2001
Title: **MULTI-LAYERED SEMICONDUCTOR
DEVICE AND METHOD FOR PRODUCING
THE SAME**
Examiner: Doug Owens
Art Unit: 2811
Paul & Paul Doc. No.: 149-01

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In the interest of full disclosure, the following items are herewith identified in accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98 for consideration by Examiner. The filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to the patentability as defined in § 1.56(b).

Also enclosed is a copy of the search report issued by the European Patent Office.

U.S. PATENTS

Patent Number

Date

5,334,875

August 2, 1994

5,440,171

August 8, 1995

5,324,687

June 28, 1994

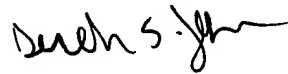
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JC 2800 MAIL ROOM

FOREIGN PATENTS

International App. No. WO 99/57765, published on November 11, 1999.

International App. No. EP 0/413,542, published on February 20, 1991.

Respectfully submitted,



Derek S. Jessen
Reg. No. 48,213
Paul & Paul
2900 Two Thousand Market Street
Philadelphia, PA 19103
(215) 568-4900

Date: March 13, 2003

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
149-01

MAR 20 2003

In Re Application Of: Michio Horiuchi

Serial No.
09/881,005

Filing Date
June 14, 2001

Examiner
Douglas W. Owens

Group Art Unit
2811

Invention: **MULTI-LAYERED SEMICONDUCTOR DEVICE AND METHOD FOR PRODUCING THE SAME**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Derek S. Jessen
Signature

Dated: March 13, 2003

Derek S. Jessen
Reg. No. 48,213
Paul & Paul
2900 Two Thousand Market St.
Philadelphia, PA 19103

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March 14, 2003 with the U.S. Postal Service as first
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20231.

Dara Salgado
Signature of Person Mailing Correspondence

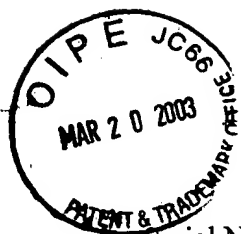
Dara Salgado

Typed or Printed Name of Person Mailing Correspondence

CC:

2811

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.: 09/881,005
Filed: June 14, 2001
Inventor: Michio Horiuchi
Title: **Multi-Layered Semiconductor
Device and Method for
Producing the Same**
Examiner: Doug Owens
Art Unit: 2811
Attorney Doc. No.: 149-01

Certificate of Class Mail

I hereby certify that this correspondence is being deposited with the United States Post Office as "First Class Mail" under 37 C.F.R. §1.8 on the date indicated below and is prepaid in an envelope addressed to: Commissioner of Patents, Washington, D.C. 20231 on: March 13, 2003


Dara Salgado

COVER LETTER WITH CERTIFICATE OF FIRST CLASS MAIL

Commissioner of Patents
Washington, D.C. 20231

Sir:

Enclosed and attached hereto are the following:

- 1) Cover Letter with Certificate of Mailing;
- 2) Information Disclosure Statement;
- 3) Information Disclosure Citation Form 1449 with copies of patents; and
- 4) Postcard to be date-stamped and returned.

No fees are believed to be required. In the event that an additional fee is required with respect to this communication, the Commissioner is hereby authorized

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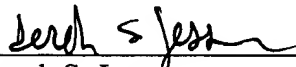
PATENT

Docket No. 149-01

to charge any additional fees, or credit any overpayment, to Paul & Paul Deposit Account No.16-0750.

Respectfully Submitted,

Date: March 13, 2003


Derek S. Jessen
Reg. No. 48,213
Paul & Paul
2900 Two Thousand Market Street
Philadelphia, PA 19103
(215) 568-4900

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